CHARACTERIZATION OF BST BASED VARACTOR FOR PHASE SHIFTER APPLICATION

A DISSERTATION

Submitted in partial fulfillment of the requirements for the award of the degree of

MASTER OF TECHNOLOGY

in SOLID STATE ELECTRONIC MATERIALS

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By



DEPARTMENT OF PHYSICS INDIAN INSTITUTE OF TECHNOLOGY ROORKEE ROORKEE-247 667 (INDIA) JUNE, 2013 I hereby declare that the work, which is being presented in the project entitled, " *Characterization of BST Based Varactor for Phase Shifter Applications*", submitted in the partial fulfillment of the requirements for the award of degree of *Master of Technology with specialization in Solid State Electronic Materials*, submitted in the Department of Physics, *Indian Institute of Technology Roorkee, Roorkee (India)*, is an authentic record of my own work carried out from December, 2012 to June, 2013 under the supervision of **Professor R. Nath**, Department of Physics, Indian Institute of Technology, Roorkee.

I have not submitted the matter embodied in this project for the award of any other degree or diploma.

Date:24- June-2013

Place: Roorkee

CERTIFICATE

This is to certify that the statement made by the candidate is correct to the best of my knowledge and belief.

(Anil Kumar Yadava)

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I am very grateful for the support of my parents, my brothers and sisters and Friends who always gave me spiritual support and encouragement to accomplish this task. Above all God must be praised!

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ABSTRACT

The work presented here is on pure BST and Mg doped BST thin film. Fabrication of planer capacitor was done using sol-gel based spin coating technique on substrate of n-type Si (100). Uniformly composed and well-developed dense crystalline structure with uniform distribution of grain size were successfully produced. The main purpose of this work is to enhance the tunability as compared to conventional thin film materials while retaining the high voltage bias capability and higher phase shift at microwave frequencies.

The C –V characterization were studied for fabrication and design of BST varactor phase shifter. The BST thin films showed good dielectric properties. The phase shifter device based on coupled microstrip line structure was fabricated and design.

The Ba_xSr_{1-x}TiO₃ (x=0.5) thin films had paraelectric nature and loss tangent values at zero bias and f= 1 MHz were found to be 0.7 and 0.32 for undoped Mg doped BST film respectively. The leakage current density at 40 kV/cm was found to be .08A/cm²in case of undoped BST and 0.0005A/cm² for BST films doped with Mg. It was found that C-V curve showed nonlinear dependence on applied field, thickness and annealed temperature. The tunability30% and 42% were found for pure and BST films doped with Mg respectively. However the phase shift of ~39^o for BST and ~34^o for the Mg doped BST were observed at 2.9GHz.

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## **CHAPTER-1**

## INTRODUCTION

#### 1.1 Motivation and Background

In the modern era, radio frequency (RF) and microwave engineering is an exciting and dynamic field due to its importance have in both commercial and military communication and radar systems, There has been explosive growth of commercial wireless markets and the interdependency between recent advances in modern electronic device technology. Which lead to the widespread use of RF/ microwave integrated circuit (IC) technology along with device miniaturization. This led to the development of RF and microwave circuit components whose dimensions are much smaller than their wavelength used. The miniaturization, reliability, ease of assembly and compactness of IC fabrication technology are the factors that tarmac the way for embedding these components directly into the substrates. In recent years, there has been fast growing demand for electrically tunable RF and microwave devices in wireless communications (cellular, Personal Data Assistants, IMT 2000, wireless LAN), digital electronics, Global Positioning Systems, modern radar system and mobile communication systems. The high dielectric nonlinearity (i.e. the strong dependence of dielectric constant on applied electric field) of ferroelectric materials with perovskite structure has made them promising candidates for these applications. The application of ferroelectric materials in electrically tunable RF and microwave devices was first introduced in the 1960's [1-4]. However, real applications of ferroelectric materials were limited by device electronics and material technology at that time. The nonlinearity in bahaviour of dielectric could used in devices as tunable filters, varactor, variable phase shifters, tunable resonators, variable frequency oscillators, microelectronic mechanical systems, infrared sensors, actuators and transducers. Today's wireless communications and information systems are heavily based on microwave technology. The current trends indicate that in the future along with microwaves, the millimeter wave and terahertz technologies will be used to meet the growing bandwidth and overall performance requirements. The most of these devices need low voltage operation, therefore, a ferroelectric material with low coercive field or in thin film form is essential. It is not easy to fabricate these traditional ceramics in the form of thin film due to their fragile nature. In this regard, ceramic - polymer composite materials are emerging as a new class of electronic, microwave and dielectric materials.

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In order to increase the flexibility on the market and functionality of RF/microwave transceivers, multi - band or multi - standard transceiver architecture solutions are pursued. In conventional implementation of multi - standard RF transceivers, multi - standard operation is usually achieved with independent RF front ends supporting each standard. But, it is undesirable due to the increased cost, size and weight with the increased number of target standards. Another approach is to make the RF transceivers electronically tunable so that it can be used to process the signal for more than one standard without introducing a separate hardware, and hence it is more economical and light weight. Since ferroelectric materials (e.g. BST, BaTiO₃, CsNO₃ etc.) have the property that their dielectric constant can be varied by changing the applied electric field across it; therefore, they can be used in the development of tunable RF front end circuits,

Ferroelectric materials are widely explored for tunable RF/microwave applications. The tunable RF devices developed using ferroelectric films have shown advantages in terms of high speed, higher power handling capabilities, lower cost and size in comparison with other possible solutions (e.g. MEMS). BST is widely used in tunable devices because of its large value dielectric constant and high tunability. The tunability of a particular material shows how much the dielectric constant of the material can be changed upon a certain applied electric field (bias). BST is a possible candidate for electrical tuneable microwave devices. BST is a potential candidate for tuneable microwave devices. Ba_{1-x}Sr_xTiO₃ is one kind of composite material possessing perovskite type structure, which possesses the merits of both BaTiO₃ materials and SrTiO₃ such as a high dielectric permittivity, low dielectric loss, good

stability and characteristics of semiconducting material, and good dielectric, ferroelectric properties and pyroelectric, nonlinear optical effect and so on.

Ferroelectric materials have sensitive physical properties, like dielectric constant, refractive index, magnetic permeability, polarization etc., to temperature, biasing electrical field, magnetic and mechanical stress. This cause effect relationship of the properties and external forces made them a very suitable choice for applications in microwave devices, optical devices, and electronics. Brief description of Ferroelectric materials paraelectric and ferroelectric phase properties is given below:

- 1. Stable spontaneous polarization in the polar phase (ferroelectric phase) that can be controlled by an external electric field.
- 2. Non polar phase (paraelectric phase) materials have randomly arranged dipole moments which cancels each other.
- 3. The dielectric parameters are very dependent on temperature and the properties are observed only within a definite range of temperature [5].

Barium strontium titanate  $(Ba_{1-x}Sr_xTiO_3)$  abbreviated as BST one of commonly used ferroelectric material is having above properties. Depending on the specific composition, temperature, BST can exhibit both paraelectric and ferroelectric behavior and is the most suitable ferroelectric material for RF/microwave devices fabrication. To fabricate microwave devices based on these materials, it is crucial to studs their electrical, ferroelectric and dielectric properties.

# 1.2 An overview of tunable microwave devices

In RF and microwave system components and circuits divided into active and passive. The microwave tunable passive devices primarily include phase shifters, filters, delay lines and network matching circuits in connection with such applications as antenna arrays, communications and radar transceivers. In the early days of microwaves devices, tuning was done mechanically or manually. Today, many circuit options are available to realize such electrical tunable devices whose performances are closely related to the choice of a technology. These options essentially include mechanical tuning, RF micro-electromechanical systems (MEMS) semiconductors, ferrites and ferroelectric materials. Electric and magnetic fields (voltage, current), optical interactions or mechanical manipulations are used to achieve tunability in components based on them. The ranges and the speed of

electrical tunability, control of power consumption, power handling capability, losses of microwave signal, potentials of integration, cost, and other parameters of the devices depend on the materials used, the controlling mechanisms (magnetic, optical, electrical, mechanical), and the design [6].

## 1.2.1 Review of non ferroelectric Technologies

Earlier all tunable circuits were mechanical, e.g. rotary vane adjustable waveguide phase shifter firstly proposed by Fox in 1947 [7]. Early mechanically tunable devices made use of coaxial lines or hollow metal waveguides and trimming screws/stepper motors/motors. Mechanical circuits are cheap, easy to fabricate and have very low loss and possess good power handling capability. However their main disadvantages are their size, low tuning speed, low cost, and sensitive to vibrations.

Semiconductor technology is one of very popular choice for making tunable integrated microwave devices. Semiconductors are promising in terms of integration possibilities, high tunability and much faster response speed. However, the linear decrease of the quality factor (Q) with frequency is the main disadvantage for high frequency >20GHz applications. Classically, the tuning can be made with continuous mode or switching using PIN diode or varactor diodes, respectively [8-9]. The tunable devices based on semiconductor are very small (in  $\mu$ ms), very fast (<1 $\mu$ s for pin diode and <1 ns for FET), and have large electrical tunability, they can be easily integrated with other circuits for example in monolithic microwave integrated circuits (MMICs). In spite of these problems, semiconductors are widely used in tuning applications as they offer low cost, compact and integration advantages and allow faster tuning speeds. However, for large arrays antenna, such as phased arrays antenna with up to 10000 and more radiating elements, the power consumption and heat sink are the main problems forbade applications of semiconductor devices.

MEMS were started to use as tunable circuits, where tunability is obtained by the movement of a component which changes the capacitance of the device [10]. There are two types of MEMS circuits: switch and varactor [11]. MEMS varactors are small in size, low loss and most important, have very high Q factor value. However, the tuning speed and high operating voltage are the main issues to be tackled [12-13] and they are sensitive to environmental conditions such as vibrations, moisture and temperature.

Ferrite has been used to fabricate microwave tunable devices [14-15], generally include phase shifters and filters. Ferrite phase shifters technology has been largely employed in military systems. However, they require strong magnetic fields, which will be power consuming. Besides, ferrite phase shifters are slow and cannot be used in application where rapid response is required. These microwave devices take advantage of a property of ferromagnetic materials to change its dielectric permeability with an applied DC magnetic field therefore allowing control of the phase constant of the waveguiding medium.

## 1.2.2 Ferroelectric Technology

Ferroelectric materials are of great interest due to the non-linear relationship between relative permittivity and applied bias electric field, which results in an ability to control relative permittivity by applying bias field. Due to the high relative dielectric permittivity, the sizes of tunable devices based on ferroelectrics are usually small. The breakdown strength of these ferroelectric materials is sufficiently high, so ferroelectric components have large tunability. Ferroelectric device have low power consumption and fast tuning speed of less than 1.0ns. These properties of ferroelectric materials make them promising candidates as tuning elements [16-21].

The main disadvantage in using ferroelectric materials for tunable wireless microwave devices is relatively high dielectric loss tangent (tan\delta) of ferroelectric materials which leads to microwave dissipation. However late research indicated that the loss tangent can be reduced by improved thin film fabrication method and material enhancement such as by doping [22-28] or multilayering the ferroelectric thin film [29-31]. Although there are a lot of reports on the integration of ferroelectric materials with electrical tunable microwave devices, further advance and understanding of ferroelectric materials is required before more competitive devices can be developed. Also, research is required to develop prototypes of ferroelectric based varactors and miniature RF/microwave communication applications such as phase shifters and tunable matching networks etc.

#### 1.3 Dielectric properties of ferroelectric thin films

In general, ferroelectric materials possess spontaneous electric polarization. The spontaneous electrical polarization of ferroelectric materials is due to electric dipoles. At a certain temperature range, the centers of the positive and negative charges in a crystal of such a material become displaced even without an external applied electric field. Groups of dipoles tend to align in the same direction instead of random arrangement, which will form a spontaneous polarization domain, to minimize energy. In experiment, spontaneous polarization of ferroelectrics implies a hysteresis loop in the response of polarization to an external bias electric field as shown in figure 1.1.

The material relative permittivity  $\varepsilon_r$  is a complex quantity:

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 $\varepsilon_r = \varepsilon_r - j\varepsilon_r$  filting a

By definition, the real part of the relative permittivity of ferroelectrics is proportional to the ratio of the electric polarization to applied electric field strength, which is corresponding to the slope of the P-E curve shown in figure1.1. This non-linear polarization for ferroelectric materials is the origin of the changing of relative permittivity  $\varepsilon'_r$  on an applied electric field, can be used for tunable devices applications. For description of dependence on non-linearity of relative permittivity  $\varepsilon'_r$  on bias applied electric field and temperature, a phenomenological model could be expressed [32] as,

(1.1)

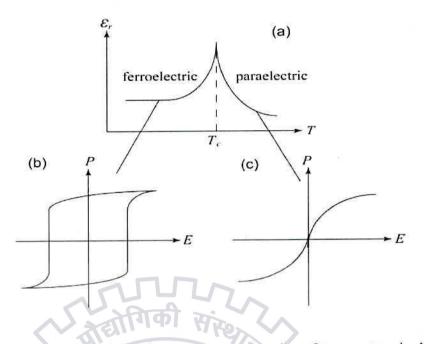


Fig. 1.1Schematics of (a) relative dielectric constant as a function of temperature in different phases; hysteresis loop of polarization-electric filed in a (b) ferroelectric phase and (c) paraelectric phase.

$$\varepsilon_r(E,T) = \frac{\varepsilon_{00}}{\phi(E,T)}$$
(1.2)

where  $\phi(E,T)$  is phase shift which is function of applied field and temperature and  $\varepsilon_{00}$  is a constant analogous to the Curie constant.

$$\phi(E,T) = \left[ \left( \xi^2 + \eta^3 \right)^{1/2} + \xi \right]^{2/3} + \left[ \left( \xi^2 + \eta^3 \right)^{1/2} - \xi \right]^{2/3} - \eta$$
$$\xi(E) = \left[ \left( \frac{E}{E_N} \right)^2 + \xi_{st}^2 \right]^{1/2}$$
$$\eta(T) = \frac{\Theta}{T_c} \left[ \left( \frac{1}{16} + \frac{T}{\Theta} \right) \right]^{1/2} - 1$$

where  $E_N$  =normalizing bias field,  $\xi_{st}$  = rate of crystal strain and  $\Theta$  is Debye temperature. The change of relative permittivity  $\varepsilon_r$  wihen frequency is not too high in the frequency range of microwave, T_c is the Curie temperature, and  $\varepsilon_{00}$  is Curie constant.

For microwave tunable device applications, the main focus of ferroelectric materials is to what extent the relative permittivity depends on applied field. This characteristic is given by the tunability 'n' which is defined as the ratio of zero electric field relative permittivity of the material at to its relative permittivity at non-zero bias electric field,

$$n = \frac{\varepsilon_r \left(E = o\right)}{\varepsilon_r \left(E\right)} \tag{1.3}$$

$$n_r = \frac{\varepsilon_r \left(E=0\right) - \varepsilon_r \left(E\right)}{\varepsilon_r \left(E=0\right)} = 1 - \frac{1}{n}$$
(1.4)

where  $n_r$  is the relative tunability. A schematic view of relative permittivity vs. bias electric field is show in fig.1.2 of ferroelectric materials in the paraelectric phase.

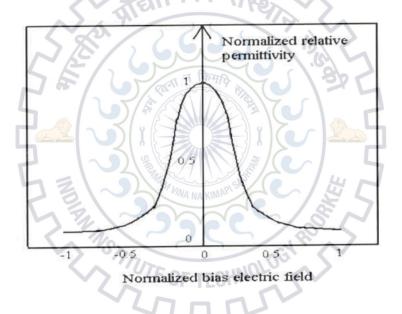


Fig.1.2. A typical relative permittivity vs. bias electric field characteristics of a ferroelectric material. The relative permittivity and bias electric field are normalized to their maximum values, respectively.

In the paraelectric regime above Curie temperature, the  $\varepsilon'_r$  shows non-linear dependence on the applied electric field. Also  $\varepsilon'_r$  decreases with temperature according to the Curie-Weiss relation,

$$\varepsilon_r = \frac{C}{\left(T - T_c\right)} \tag{1.5}$$

Another important property of ferroelectric materials is the loss tangent (tan $\delta$ ), defined as the ratio of imaginary and real part of the relative permittivity,

$$\tan \delta = \frac{\varepsilon''_{r}}{\varepsilon'_{r}} = \frac{\operatorname{Im}(\varepsilon_{r})}{\operatorname{Re}(\varepsilon_{r})}$$
(1.6)

#### 1.3.1 Ferroelectric thin film varactors

Application of ferroelectrics to microwave devices began in 1960s. Ferroelectric thin films based varactor is one of very important applications in microwave components like resonator, phase shifter, filter and mixer. The desired electrical characteristics of varactor are high tunability and low loss tangent (tanδ) and also low leakage current at operating frequency and temperature [33, 34-35]. Various physical factors also affect the varactor properties like quality of ferroelectric thin film, varactor structure and quality of conducting metallization use to make electrode.

## 1.3.2 Basic structure of varactors

The structure of varactors plays major role to make a microwave component. There are two basic structure of varactor: planer plate structure and parallel plate structure as shown in fig.1.3 [36].

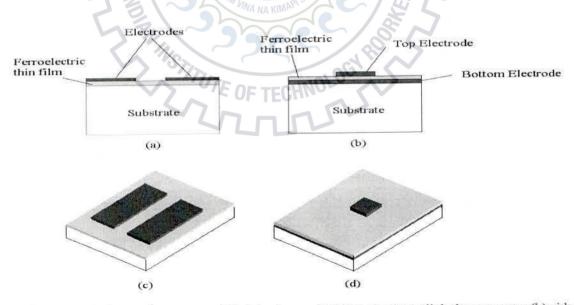


Fig.1.3 Layout of planar plate varactor (a) side view and (c) 3D view; parallel plate varactor (b) side view and (d) 3D view.

The planer varactor is shown in fig.1.3 (a) and (c) where a ferroelectric thin film is deposited on the suitable substrate (e.g. Si, MgO or sapphire) and electrode metallization is done on ferroelectric thin film. In case of parallel plate varactor as shown in fig. 1.3 (b) and (d), ferroelectric thin film is deposited on the bottom electrode followed by metallization is done on ferroelectric thin film.

When electric field is applied to varactor its relative permittivity changes from  $\varepsilon'_r(E_1)$  to  $\varepsilon'_r(E_2)$  and thus its capacitance changes from  $C(\varepsilon'_r(E_1))$  to  $C(\varepsilon'_r(E_1))$  with relative tunability,

$$n_r = \frac{C\left(\varepsilon_r(E_1)\right) - \left(C\left(\varepsilon_r(E_2)\right)\right)}{C\left(\varepsilon_r(E_1)\right)} = 1 - \frac{C\left(\varepsilon_r(E_2)\right)}{C\left(\varepsilon_r(E_1)\right)}$$
(1.7)

From eq. (1.7) for a given bias field on narrowing space between electrodes more electric field can be applied to the ferroelectric film and hence large value of tunability of ferroelectric thin film varactor can be achieved. For planer structure field is applied between electrodes across the gap where as in case of parallel plate varactor field is applied between top and bottom electrodes across the ferroelectric thin film. The ferroelectric thin films used in microwave tunable components generally have a thickness less than 1µm in parallel plate varactor is much thinner than the electrodes gap of the planar varactor (which is typically of the order of ten micrometer). As a result, the tuning voltage needed for planar varactor will be large; it is typically in the range of 100V. In case of parallel plate varactor, the bias voltage lies in the range of 1-20V, to give the same tunability.

However, the high relative permittivity and the small spacing between electrodes for parallel plate varactor will result in a large capacitance limiting applications at high frequency. Moreover, most of the parallel plate varactors are built with platinum bottom electrodes, which will contribute more loss of the device than in the case of the planar plate structure.

## 1.4 Barium strontium titan ate ferroelectric thin films

Barium strontium titan ate (BST) is one of very important ferroelectric material. It is mixer of BaTiO₃ (BTO) and SrTiO₃ (STO) and indicated as  $Ba_xSr_{1-x}TiO_3$  (BST), is one of the widely investigated ferroelectric materials due to its high relative permittivity, moderate loss tangent and significant tunability. BST as a tunable device is preferred at room temperature

because its Curie temperature is below room temperature (~250K). Its Curie temperature depends on Ba/Sr ratio. Linear decrease in Curie temperature with increasing Sr concentration at a rate of 3.4°C per mole % Sr is observed. Generally, a value of x in the range from 0.4-0.6 is desirable for room temperature application and thus thin film with Curie temperature around room temperature is used in this work as tuning elements of filter and phase shifter [37].

## 1.5 Outline of this dissertation

CHAPTER – 2 describes microwave characterization and fabrication of ferroelectric thin films. In which method of preparation of BST solution, chemical vapor deposition on Si (100), its structural analysis, electrical characterization and microwave characterization.

CHAPTER – 3 describes coupled microstrip line ferroelectric thin film varactor phase shifters. Here the results of the study of properties of coupled microstrip line, its RF excitation and phase shifter design are given.

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CHAPTER – 4 describes measurement of whole results and discussion. CHAPTER – 5 Conclusion and future scope of the work is presented.

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## CHAPTER - 2

## FABRICATION AND MICROWAVE CHARACTERIZATION OF FERROELECTRIC THIN FILMS

### 2.1 Fabrication of thin films of ferroelectric

Most of the device, fabrication needs highly advanced techniques for synthesizing thin films of high-quality for understanding their proparties and device perfarmance. Current methods of fabricating ferroelectric thin films include: RF sputtering, Sol-gel method (chemical solution deposition) [38-39], molecular beam epitaxy (MBE), metal-organic chemical vapor deposition (MOCVD), and pulsed laser deposition (PLD). The first two provide polycrystalline or amorphous thin films, while the others can provide highly oriented thin films. Compared with other thin film deposition techniques, sol-gel method (spin coating) shows several advantages, some as rapid sampling of materials, homogeneity, stoichiometry control and simple preparation route. Today's sol-gel method becomes very popular technique to produce thin films having uniformity. In spite of above advantages this method also has some disadvantages: morphology and reproducibility. A comparison of different method is given in table 2.1.

## 2.1.1 Chemical solution deposition of BST undoped and doped thin film

The chemical solution deposition (CSD) methods have been widely used for perovskite thin films since the mid-1980s, mainly for ferroelectric thin films for electronic applications [40]. One of the major advantage of CSD method over other deposition techniques is an easier stoichiometry control as different film compositions (e.g. Ba/Sr ratio in the case of BST deposition) can be readily achieved by changing the composition of starting chemicals.

Normally, CSD deposition of BST thin film includes (1) precursor preparation, (2) film deposition and (3) pyrolysis (temperature range 200-400 °C) and crystallization by high temperature annealing. The flow diagram is given in figure 2.1. For Mg doped BST magnesium acetate in proper amount is added just after 4th step and we get yellowish transparent solution.

## Table 2.1 Advantages and Limitations of different techniques

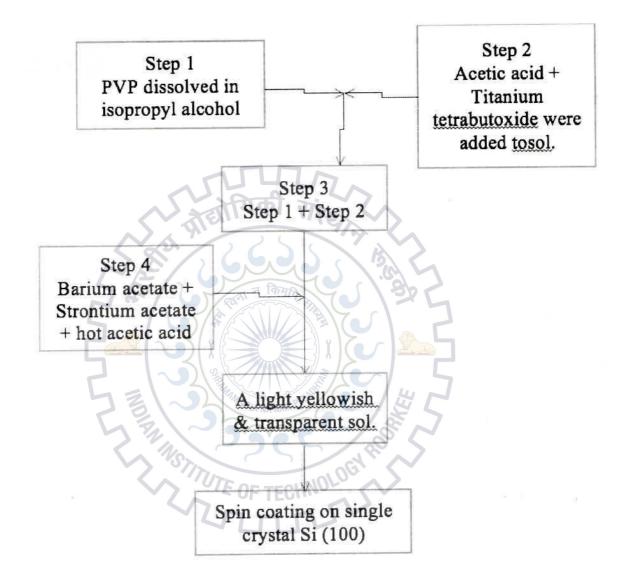
Method	Advantages	Limitations
	Rapid sampling of materials	Morphology
PLD	Quickly produce new materials	Point defect concentration
	Low temperature epitaxial growth	Limited sample scale
		Uniformity
		High residual stress
	Cost	Point defect concentration
DF	Uniformity 10	Residual stress
RF	Low temperature	Stoichiometry control
Sputtering	Scalability	Slow deposition rate for oxide
	Standard IC processing	
	Uniformity	Immature technology
	Morphology	Precursor stability
MOCVD	Composition control	Precursor availability
	Low point defect concentration	Expensive
	Scalability	Down-time
MBE	Uniformity	Expensive
	Composition control	Complexity
	Composition control Precise atomic layering Extreme flexibility	Immature for oxide
	Extreme flexibility	
	Scalability	
	Inexpensive, low capital investment	Phase control
	Rapid sampling of materials	Morphology
CSD	Homogeneity	Reproducibility
	Stoichiometry control	
	Simple preparation route	

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Figure 2.1 Flow chart for preparation of BST thin films



(b)Step for Mg doped BST

The molar composition of the BST sol. is  $Ti(OC_4H_9)_9$ / Barium acetate/ strontium acetate/ PVP/acetic acid /isopropyl alcohol (to adjust viscosity of sol.) = 1:0.5:0.5:1:1:1. Finally we get BST solution which is light yellowish & transparent.

After getting BST solution it is spin coated on single crystal Si (100) substrate. It is done using spin coater whose speed is fixed at 2000 rpm for 45 second. After each spin coating of the sample is pyrolysis (normally 200-400 °C) is done for 10 minutes. This process is done many times as per requirement of thickness of the deposited BST on the substrate. After getting desired thickness of BST on substrate it is sintered at 700 - 1200°C for 2- 3 hours for proper crystallization of BST. If proper sintering is not done then BST become semi crystalline or amorphous which affects the properties of BST. The aluminum electrode was vacuum evaporated using a vacuum coating unit model – 12A4D. Electrode thickness is ~40 nm.

## 2.2 BST thin film characterization

BST film prepared by the above method was characterized using the following techniques.

- For structural characterization: XRD was used, for Surface morphology FESEM and AFM were used.
- 2. For electrical characterization: C V Characteristics were studied to obtained tunability.

## 2.2.1 Structural characterization

The X - ray diffraction measurements were performed to obtain the structural information in various types of pure and composite films using diffractometer. In Advanced Bruker D8diffractometer, the CuK_{$\alpha$} radiations of wavelength 0.154 nm with nickel filter were used. The speed of goniometer was kept at 0.5°/min. The XRD scan of 50 wt. % composite films prepared by solvent cast method were also taken at different elevated temperature in the temperature range of 100 to 200°C. X -ray diffraction is a non-destructive and most powerful technique for determining the crystal structure, preferred orientation, crystallite size, lattice constants, stress and phase analysis, of thin films. The typical XRD pattern of BST thin film is given in figure 2.2.



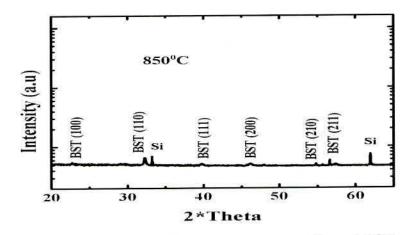


Figure 2.2 XRD pattern of 950nm BST thin film at 850°C

It shows that BST thin film is polycrystalline in nature because of different peaks appears in the XRD pattern. The peaks at  $2\Theta = 22^{\circ}$ ,  $32^{\circ}$  and  $40^{\circ}$  were analyzed and correspond to (100), (110) and (111) planes respectively. The BST thin film was also investigated using FEI XL30 scanning electron microscopy (SEM) to characterize the micro-structure during the film evolution. The SEM sample was coated by a thin gold layer to prevent charge accumulation during experiment. The SEM image is shown in Figure 2.3.

In Fig.2.4, the AFM image of the BST thin film is shown. The thickness of the film is measured by Tencor alpha step surface profiler after patterning the film in 2.5%HF solution and is found to be 945±5 nm. Therefore, approximately an 85 nm layer is obtained for each coating-crystallization cycle. The films were homogeneous and crack-free and the surface roughness is found from the AFM measurements to have a root mean square value of 12.75 nm and average grain size was 63nm.



Figure 2.3. SEM images of ~950nm BST thin films by CSD method at 850^oC. The film is dense and without crack is clearly demonstrated.



Figure 2.4 AFM images of ~950nm BST thin films by CSD method at 850^oC. The film is dense and without crack is clearly demonstrated.

## 2.2.2 Electrical characterization

To portray the tunablility property of BST thin films, *i.e.* the capacitance as a function of applied voltage, planer plate capacitors were fabricated for the 950 nm BST thin films on a Si (100) wafer. After the BST deposition, a layer of 30nm thick Aluminum (Al) was deposited on top of it. The cross section view of planer plate varactor is given in (Fig.2.5).

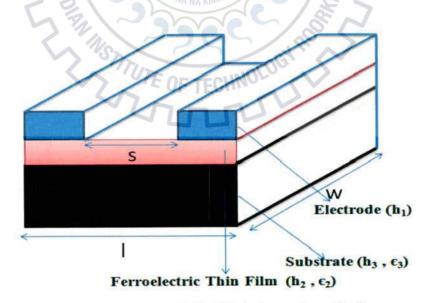


Fig.2.5. Cross section view of Si/BST/Al planer plate thin film varactor

The tunability of BST film is also calculated with the help of C-V plot using formula

Tunability = 
$$100*\frac{(C_0 - C_v)}{C_0}$$

(2.1)

where  $C_0$  is capacitance at zero bias and  $C_V$  is capacitance at applied bias voltage V

The Tunability of a specific material shows how much the dielectric permittivity of the material can be changed upon a certain applied bias electric field. The planer structure comprise three components, the ferroelecctric film, svbstrate, and air. Capacitance is due to the fields which are stray in the ambient space (air) with dielectric permittivity  $\varepsilon_1 = 1$ , the substrate of thickness  $h_3$  with permittivity  $\varepsilon_3$  the ferroelectric film layer of thickness  $h_2$  with dielectric constant  $\varepsilon_2$ ,

We represent the capacitor which are composite layer as 3 simple capacitors which are planar with filler which are uniform connected in parallel, and find capacitance as the sum of the three partial capacitances where  $C_1$ ,  $C_3$  and  $C_2$  equal to gives the capacitances of the component parts of the planar capacitor, which are the field, fringing in air, the substrate the ferroelectric film, respectively [41]. From the Eq. (2.2) and (2.3), the permittivity of BST films was calculated.

$$C = C_{1} + C_{2} + C_{3}$$

$$C_{1} = w\varepsilon_{0}\frac{2}{\pi}\ln(\frac{4l}{s})$$

$$C_{2} = w\varepsilon_{0}\frac{(\varepsilon_{2} - \varepsilon_{3})}{\left(\frac{s}{h} + (4/\pi)\ln 2\right)}$$

$$C_{3} = w\varepsilon_{0}(\varepsilon_{3} - 1)\frac{1}{\pi}\ln(16\frac{h_{3} - h_{2}}{\pi s})$$

$$(2.2)$$

where  $\varepsilon_0$  is permittivity of vacuum,  $\varepsilon_2$  and  $\varepsilon_3$  are permittivity of ferroelectric thin film and substrate respectively,  $h_2$  and  $h_3$  thickness of ferroelectric thin film and substrate respectively, 1 is length of thin film, W is width of thin film and s is space between electrode.

C –V measurement of the planer plate varactor is shown in fig. 2.6.The C - V measurements were done at two fixed frequencies in different composite films using a Keithly 590 CV analyzer. The sweping of voltage was from and +15 V to -15 V in reverse cycle and -15 V to + 15 Vin 30 seconds in the forward cycle with the same rate.

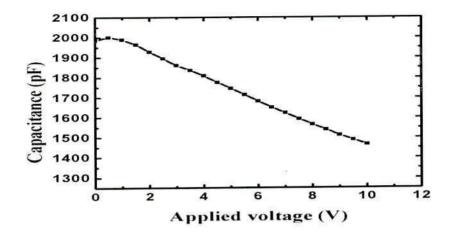


Fig. 2.6 C vs. V of BST thin film varactor

Figure 2.6 shows tunability of ferroelectric thin film varactor i.e. as bias voltage changes its capacitance also changes and we get maximum capacitance at zero volts. Fig.2.7 shows, as the bias voltage increases the loss tangent decreases and maximum loss occurs at zero volts. The loss tangent measurements of BST thin film were done using "590 C-V analyzer". At frequencies of microwave range, the loss in conductor due to the interconnected lines and electrodes is responsible for overall loss of ferroelectric BST capacitors. The formula to calculate loss tangent is given below.

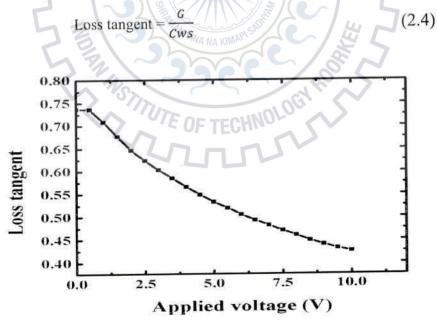


Fig. 2.7 Loss tangent vs. V of BST thin film varactor

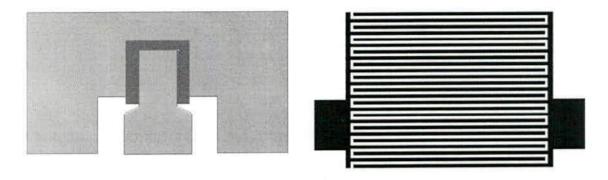
## 2.3 Microwave measurement techniques for ferroelectric thin films

It's vital to characterize the high frequency dielectric permittivity and tunability of ferroelectric materials for microwave applications. For frequencies lower than 100 MHz, the varactor is made of ferroelectric material is a lumped element because dimensions are very less than the wavelength of the EM signal. Loss tangent and capacitance of varactor could be measured by standard impedance analyzer. At frequencies higher than RF/microwave, capacitances direct measurements are less applicable coz at higher frequencies the wavelength of the EM wave are comparable to the dimensions of the varactors and couldn't be regarded any more as lumped elements. Generally speaking, the microwave measurement techniques for characterizing dielectric composites, ceramics and bulk ferroelectric crystals admit non resonant methods and resonant methods [42]. Planar-circuit method is used for Ferroelectric thin film and all the dielectric proprities which included the dielectric relaxations and electric tunebility, are required to studied. There are three measurement methods, including lumped capacitance measurement method, coplanar waveguide transmission line method, and coplanar resonator method. In this section we will discuss only lumped capacitance measurement method.

## 2.3.1 Lumped capacitance measurement method

Capacitance and the loss factor of the ferroelectric thin film are used for calculating the dielectric permittivity of thin film and is calculated from the quality factor of the capacitor. The two propect of method are the capacitor measurement and the capacitance design.

Although ferroelectric thin film varactor capacitors have been created in many different designs, they basically consist of two structural types: the parallel plate type (trilayer capacitor) and the planar type (e.g. interdigital capacitor). Figure 2.8 shows configurations of two types of ferroelectric thin film varactor capacitor. Characterization of thin film capacitors made from ferroelectric at RF/microwave frequencies is complex by many factors. At these frequencies, series inductance related presents a self-resonant frequency which bounds the bandwidth and measurement. The large density of capacitance of thin films means that capacitors used in this range of frequency would have small areas of electrode. Measurement is difficult, as in GHz range new loss mechanisms is important which might not be understandable at lower frequencies [43]. Here are some methods reported on-wafer characterizations which are based on interdigital capacitor (IDC) and parallel plate capacitor, structure as shown in fig. 2.8.



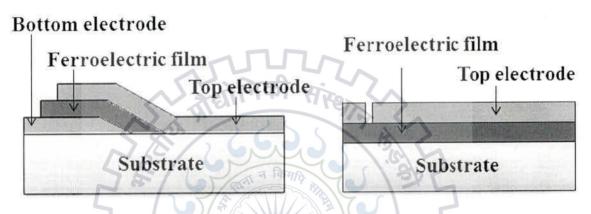


Figure 2.8 the plane-view and cross sections of ferroelectric thin films capacitors for IDC right figure and Parallel plate capacitor

A simple and generally used test structure for one-port network analyzer measurement using ground-source-ground (GSG) probes has been proposed [44,45]. Figure 2.8 (a) is the equivalent circuit of the capacitor can be represented by lumped elements of intrinsic capacitance admitance, paralel and series stray admitances. The intrinsic capacitor admittance Y can be expressed in terms of  $S_{11}as$ :

$$Y = G + j\omega C = \frac{1}{Z_0} \left( \frac{1 - S_{11}}{1 + S_{11}} \right)$$
(1)

where *C* and *G* are the capacitance and conductance of the capacitor,  $\omega$  is measured angular frequency, S₁₁ is measured complex reflection coefficient from a vector network analyzer (VNA), and Z₀ is the transmission cable characteristic impedance (50 $\Omega$ ) of. The dielectric loss tangent (*tan*  $\delta$ ) and relative dielectric constant ( $\varepsilon_r$ ) of ferroelectric thin film can be derived from equation (1):

$$\varepsilon_r = C \cdot h / A \cdot \varepsilon_0 \tag{2}$$

$$tan\delta = G/\omega \cdot C \tag{3}$$

For assessing the dielectric properties at high-frequency of capacitors (parallel plate) is the exact estimation of parasitic and the series resistance prevailing at higher frequency and causing loss should be excluded completely to approximate the dielectric loss.

From IDC in Figure 2.8 (b), the capacitor substrate belongs to two layers: the substrate (Si (100)) with dielectric constant  $\varepsilon_1$  and ferroelectric thin film (in this case BST) with dielectric constant  $\varepsilon_2$ . Modeling of IDC is by a parallel resistor-capacitor model [47] for which the admittance reads

$$Y = Y_0 \frac{1 - S_{11}}{1 + S_{11}} = jwC$$
(4)

where the reference admittance is  $Y_0$  and  $S_{11}$  is the coefficient of reflection of calibrated device. *C* is defined here as complex capacitance, where *Real part of* C is the usual capacitance and *Imagianry part of* C  $-G/\omega$ , where *G* - conductance. Every partial capacitance is showed as multiplication of known factor, subject to only on the IDC geometry, and a partial dielectric permittivity of the form

$$\varepsilon_{eff,x} = 1 + \frac{1}{2}q_{1,x}(\varepsilon_1 - 1) + \frac{1}{2}q_{2,x}(\varepsilon_2 - \varepsilon_1)$$
(5)

In linear expression, the index x stands for 2,  $q_{1, x}$  and  $q_{2, x}$  are filling factors (FF) depending on IDC geometry. FF is percentage of bias electric fields present in transmission line that cut through the substrate. For CPW and microstrip (non-TEM transmission lines), the electric fields cut through two dielectric materials. The capacitance is calculated according to the equations (5).

## CHAPTER – 3

## COUPLED MICROSTRIP LINE FERROELECTRIC THIN FILM VARACTOR PHASE SHIFTERS

In this work phase shifter uses coupled microstrip line as dc electrodes to polarize a ferroelectric thin film. The coupled microstrip lines are frequently used in microwave ICs, where couplers are realized into circuitry. This chapter describes the coupled microstrip phase shifter (CMPS) using BST thin film varactors with planar plate electrode.

## 3.1 Properties of coupled microstrip line

Some basic design equations for coupled microstrip lines useful for design of phase shifters are briefly described in this section.

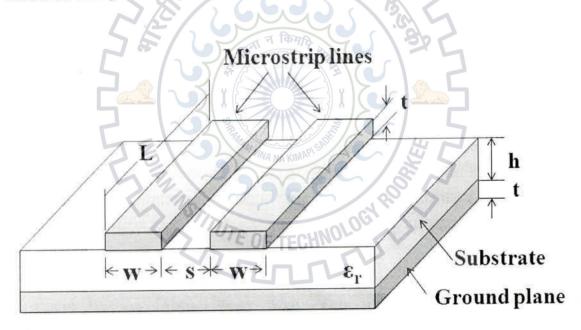


Figure 3.1 a conventional coupled microstrip lines

Two microstrip lines with width w at a distance of s. These two lines carry 2 cardinal quasi-TEM modes, the odd mode and the even mode, which have dissimilar dielectric permittivity and dissimilar dispersion properties due to dissimilar field structures of the modes. The electric (E) and magnetic (H) fields associated with each mode are indicated in

Figure 3.2. For an excitation in even-mode, both of the lines have same voltage and carry the same sign charges, consequent in a magnetic wall at symmetry plane, as shown in Figure 3.2 (a). For the odd-mode, both microstrip lines have the opposite voltage potentials or carry the opposite sign charges, so that the symmetric plane is an electric wall, as indicated in Figure 3.2 (b). In general, these two modes will be excited at the same time. However, they propagate with different phase velocities because they are not pure TEM modes, which mean that they experience different dielectric permittivity. Therefore, the coupled microstrip lines are characterized by the characteristic impedances and the effective dielectric permittivity of the even- and odd- modes [48].

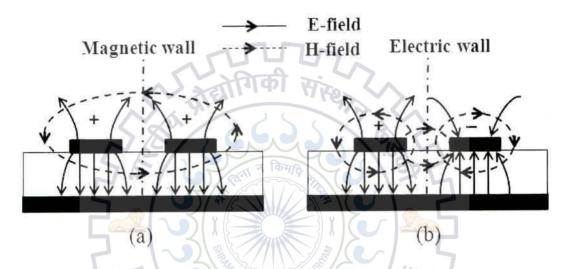


Figure 3.2 Field distributions resulting from (a) even and (b) odd mode excitation of the coupled microstrip lines.

The expressions for symmetric coupled microstrip line synthesis are given by Garrg and Bahll [49]. The even mode and odd mode impedances  $Z_{even}$  and  $Z_{odd}$  can be acquired from the capacitances.

$$Zeven = \frac{1}{C\sqrt{C_{even}^{air} \cdot C_{even}}}$$

$$Zodd = \frac{1}{C\sqrt{C_{odd}^{air} \cdot C_{odd}}}$$
(3.1)
(3.2)

where  $C_{odd}$  and  $C_{even}$  are odd and even mode capacitances, and  $C_{odd}^{air}$  and  $C_{even}^{air}$  are even and odd mode capacitances of coupled line where air as a dielectric medium.

Effective dielectric permittivity  $\varepsilon_{even}$  and  $\varepsilon_{odd}$  for even and odd modes, respectively, can be obtained from equations (3.1) and (3.2).

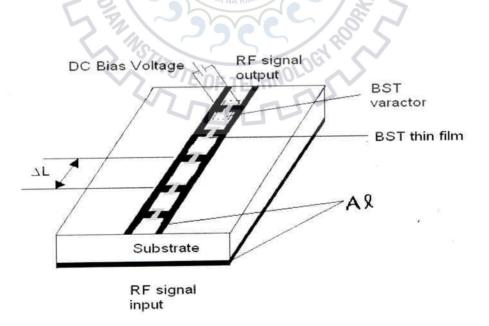
$$\varepsilon_{evenn} = \frac{C_{even}}{C_{even}^{air}}$$
(3.3)

$$\varepsilon_{odd} = \frac{C_{odd}}{C_{odd}^{air}}$$
(3.4)

## 3.2 Phase shifter design

## 3.2.1 Calculation of phase shift and tenability

The coupled microstrip line phase shifter is fundamentally a high impedance transmission line periodically loaded with ferroelectric thin film varactors. The phase shifter equivalent lumped element circuit is similar to coplanar waveguide phase shifter. In the figure 3.3,  $\Delta L$  is distance between varactors or length of unit cell,  $L_0$  and  $C_0$  are lumped inductance and capacitance of unit cell. The Substrate is Si (100) which has thickness of 500µm and dielectric constant is 510. A schematic structure and equivalent circuit of the coupled microstrip (CM) lines phase shifter is shown in figure 3.3.



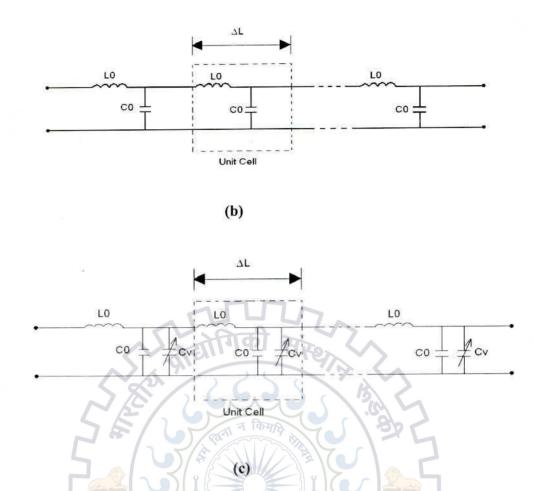


Figure 3.3 Schematic structure of a CM phase shifter periodically loaded with thin film varactors and its circuit approximation (a) schematic layout (b) equivalent circuit of coupled lines before loaded with varactors (c) after loaded with BST thin film varactors

The characteristic impedance and propagation constant of microstrip lines shown in figure 3.3(b) are

 $Z_0 = \sqrt{\frac{L_0}{C_0}}$ (3.5)  $\beta_0 = \omega \sqrt{L_0 C_0}$ (3.6)

Varactors with capacitance  $C_{v1}$ at zero bias state are loaded periodically between the coupled microstrip lines, as seen in the equivalent circuit of figure 3.3(c), capacitance/unit length is increased and inductance/unit length for these coupled lines remains unchanged. The characteristic impedance, propagation constant and phase shift are,

$$Z_{0} = \sqrt{\frac{L_{0}}{C_{0} + C_{v1} / \Delta l}}$$
(3.7)

$$\beta_{0} = \omega \sqrt{L_{0}(C_{0} + C_{v1} / \Delta l)}$$
(3.8)

$$\phi_{1} = \omega l \sqrt{L_{0} (C_{0} + C_{v1} / \Delta l)}$$
(3.9)

After voltage V is applied to the varactors capacitor, the corresponding characteristic impedance, propagation constant and phase shift are,

$$Z_{0} = \sqrt{\frac{L_{0}}{C_{0} + C_{\nu 2} / \Delta l}}$$
(3.10)

$$\beta_{0} = \omega \sqrt{L_{0} (C_{0} + C_{y2} / \Delta I)}$$
(3.11)

 $\phi_2 = \omega l \sqrt{L_0 (C_0 + C_{\nu_2} / \Delta l)}$ (3.12)

where  $C_{v2}$  is the capacitance of the varactors at bias state. From equation (3.9) and (3.12), it can be seen that since the capacitance of the loading varactors is electric field dependent, the properties of the loaded microstrip lines, such as the characteristic impedance, phase velocity, propagation constant, are functions of electric field. Therefore, the phase shift for a given length of transmission lines by,

$$\Delta \phi = \phi_2 - \phi_1 = \omega l \sqrt{L_0 (C_0 + C_{v_2} / \Delta l)} \ \omega l \sqrt{L_0 (C_0 + C_{v_1} / \Delta l)}$$
(3.13)

Therefore, tunability of the phase shifter between the two states can be calculated,

$$\frac{\Delta\phi}{\Delta V} = \frac{2\pi f \sqrt{L_0 (C_{\nu 1} / \Delta l)}}{2\sqrt{(C_0 + C_{\nu 1} / \Delta l)}} \frac{\Delta (C_{\nu 2} / C_{\nu 1})}{\Delta V} = \frac{\beta_0}{2} \left(\frac{Z_0}{Z_1} - \frac{Z_1}{Z_0}\right) \Delta \frac{(C_{\nu 2} / C_{\nu 1})}{\Delta V}$$
(3.14)

## 3.3 Fabrication of phase shifter

At the first step BST film is deposited on substrate of Si (100) using spin coating according to the pattern on substrate. After deposition of desired thickness of the BST thin film it is pyrolysed ( $300^{\circ}$ C to  $400^{\circ}$ C) and then sintered ( $750^{\circ}$ C to  $1200^{\circ}$ C). After this step for

electrode Al metallization (~50nm) is done on it and at the bottom of substrate. Flow chart of fabrication process of BST thin film varactor phase shifter is given below.

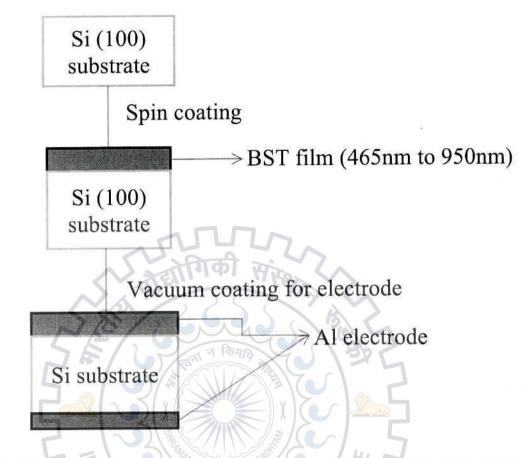


Figure3.4 Fabrication process flow chart for thin film deposition and metallization of BST varactor.

## **CHAPTER 4**

## MEASUREMENT RESULTS AND DISCUSSION

## 4.1 Experimental results of XRD:

XRD pattern of BST thin film is given below.

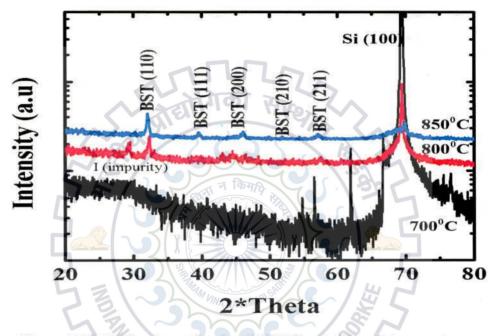


Figure 4.1.1 XRD pattern of undoped BST film at different temperatures

Figure 4.4.1 we shows that as anneal temperature increases the x-ray peak intensity increases and the full-width-half-maximum (FWHM) decreases representing increase in crystallinity and grain size increases with increas in sintering temperature to  $850^{\circ}$ C. The film annealed at  $850^{\circ}$ C has best crystallization, uniformity and large grain size than films annealed at  $700^{\circ}$ C and  $800^{\circ}$ C. The BST film annealed at  $800^{\circ}$ C gives some impurity content at  $\sim 29^{\circ}$ . Similarly from figure 4.1.2 we can see that BST films having thickness 950nm has better crystallization than 715nm, 530nm and 465nm thick films. So overall, we can say that the films annealed at higher temperature and are thick have better grain size, less porous, better crystallization and hence higher dielectric constant.

## 4.2 Experimental results of FESEM:

Surface morphology and cross section of a sample at different temperature and sample having variable thickness at fixed temperature is given below.

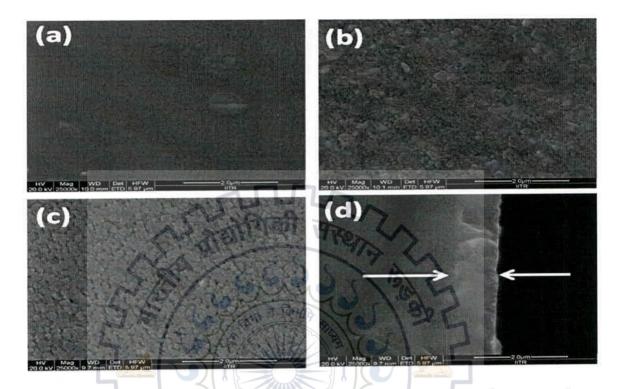


Figure 4.2.1 Surface morphology of 950nm BST film (a) at 700^oC (b) at 800^oC (c) at 850^oC and (d) cross section

From the above figure 4.2.1 we observe that grain size is more clear and uniform in (c) i.e. at 850°C as compared to (b) and (a). The FESEM analyses supports the x-ray results in that the 700°C sintered thin films were amorphous and that the microstructure became fully developed after annealing at 800°C. The 850°C annealed undoped and Mg doped films possessed a dense well crystallized microstructure with a homogeneous cross-sectional thickness of 950 nm. The films were polycrystalline and were composed of granular multigrains randomly distributed throughout the film thickness. The FESEM micro graphs how a clear structural delineation between the film and the Si substrate. No amorphous layer or voiding/defects was observed at the film-Si interface. This defect free and structurally abrupt interface bodes well for the excellent mechanical integrity and good adhesion characteristics of the undoped and Mg doped BST film-Si substrate.

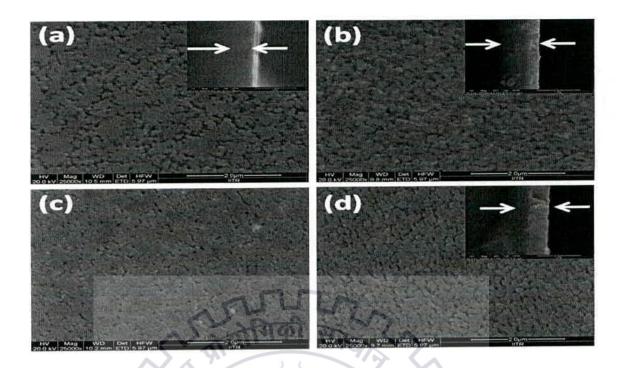


Figure 4.2.2 Cross section at 850°C (a) 465nm (b) 530nm (c) 715nm (d) 950nm

In figure 4.2.2 we observe that larger grain size, uniformity and less porous, hence better crystallization in (d) as compare to (c), (b), (a). Similarly (c) is better than (b) and (a). So we conclude that on increasing thickness we can get better thin film having better crystallization and uniformity. The FESEM analyses support the x-ray results. Over all we conclude that films having annealed at higher temperature and are thick results in a better BST film.

# 4.3 Experimental results of AFM:

The AFM images of undoped and doped BST thin film are shown in figure 4.3. In figure 4.3 (a) and (b) are 2D images of undoped and doped BST respectively, (a) is more uniform and crack free as compare to (b) whereas (c) and (d) are the 3D image of undoped and doped respectively, it also supports figures (a) and (b).

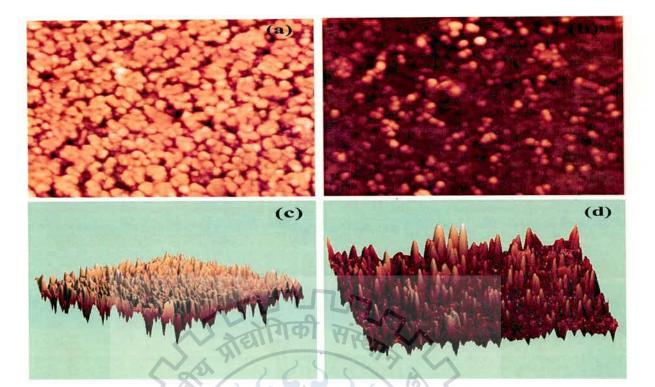


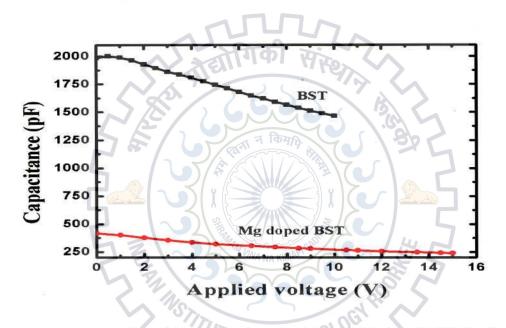
Figure 4.3AFM image of 715nm undoped BST (a), (c) and Mg doped BST (b), (d)

#### 4.4 C-V measurement results:

The C-V characteristics of undoped and doped BST thin film of thickness 950nm annealed at  $850^{\circ}$ C are given in figures 4.4.1 and 4.4.2 respectively. The capacitance of the thin film decreases with voltage. It is because of as increasing the bias electric field; the dramatic decrease in capacitance indicated the existence of symmetrical Schottky barriers. The dependence of the capacitance on the bias voltage shows a strongly nonlinear character. The interface capacitance formed by the Schottky barrier results its symmetry. It can be assumed that the SFM configuration behaves as a normal Schottky contact. The relationship of  $1/C^2 \sim V$  or  $1/\epsilon^2 \sim V$  can be employed to measure like semiconductor Schottky contact [50]. It can observed that Mg doped film has smaller value of capacitance and hence lower dielectric constant at zero bias voltage. It also support what we have found in XRD and FESEM results i.e. grain size of Mg doped thin film is smaller than undoped case and so lower value of dielectric constant is expected. A comparison of C – V characteristics of

undoped and 5mol% Mg thin film is given in fig. 4.4.1.

The phenomenon of dispersion of dielectric permittivity may be attributed to extrinsic contributions to polarization of the materials such as impurities, oxygen vacancies, grain boundaries, domain wall motions [51]. It is well known that the value of the dielectric constant of dielectric thin film is strongly affected by microstructure, grain structure, grain size [52] [53]. We think also, that in our case, the effect of the dopants is to decrease the polarization associated with the oxygen vacancies. The formation of non ferroelectric phases such as oxides can also largely decrease dielectric permittivity. However this smaller value of dielectric constant is favourable in perspective of our applications in microwaves as electrical tunable phase shifter [54].



**Figure 4.4** C vs. V for both undoped and 5mol% Mg doped BST thin film. Figure 4.4.2 shows the variation of dielectric tunability defined as

Tunability = 
$$\varepsilon_{\text{max}} - \varepsilon_{\text{mim}} / \varepsilon_{\text{max}}$$
 (4.1)

of the BST varactors with thickness. The dielectric tunability varies from 2 to 30 % when film thickness changes from 465 to 950 nm. Higher tunability can be achieved when the residual stress in the film is vastly relaxed as in the case of thick films. Meanwhile, the dielectric properties of a ferroelectric film are strongly influenced by grain size, grain structure, and quality of the material throughout the volume of the thin film. The dielectric tunability increases with grain size since the volume of dielectric polarization is propositional to the size of the grain [57, 58].

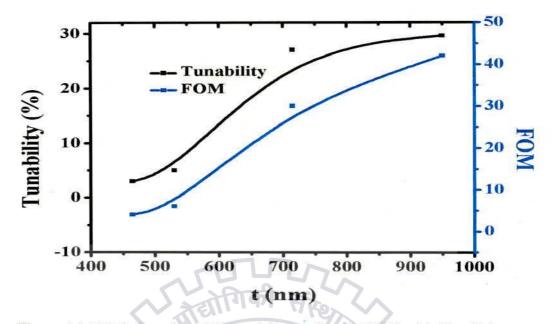


Figure 4.4.2 Variation of tunability and figure of merit (FOM) with film thickness

Thus, the increase of the tunability with increasing thickness is mainly due to increase in grain size and the strain relaxation. To fulfill the above, we need to optimize the processing conditions for a maximum value of the figure of merit (FOM) (defined as tunability/ loss). The Fig.4.4.2 shows the relationship between values of FOM and film thickness. It is interesting to note that the value of FOM shows a maximum for higher film thickness varactor.

# 4.5 Leakage current density measurement results:

Figure 4.5 shows the leakage current density variation with applied external field (J-E) for undoped and doped BST films. The electrical quality of a dielectric film is determined by the value of leakage current or resistivity of the ferroelectric thin films.

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In the figure 4.5, it is clear that on increasing applied field leakage current density increase exponentially for both cases but Mg doped film has improve in leakage current density i.e. at bias field 80V/cm its value for undoped BST was 0.4 A/cm² and for doped case its value was 0.005 A/cm². The Mg-doped BST thin film possessed an enhanced film resistivity (low leakage current/high resistivity) value with respect to that of the undoped BST thin film.

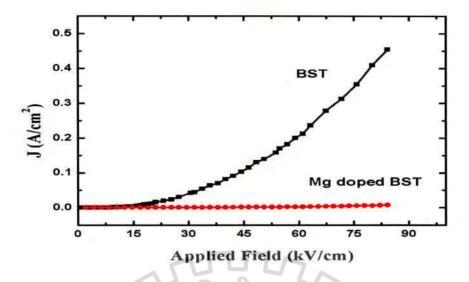


Figure 4.5 J vs. E for both undoped and 5mol% Mg doped BST thin film.

## 4.6 Loss tangent measurement results:

The loss tangent curves (fig. 4.6) may be explained by the presence of interfacial layers, such as surface electrode/film interface in the ferroelectric thin film [55, 56]. The loss tangent is smaller for the doped film than that for the undoped ones. This can be related to the decrease of the oxygen vacancies in the doped film.

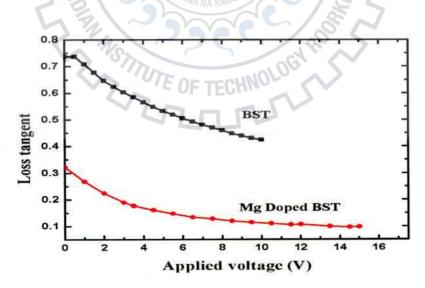


Figure 4.6 Loss tangent vs. applied voltage for both undoped and 5mol% Mg doped BST thin film.

It is because of acceptor type dopants can forbid the reduction of  $Ti^{4+}$  to  $Ti^{3+}$ , by neutralizing the donor action of the oxygen vacancies. Because the electrons resulting from the generation of oxygen vacancy can hop between different titanium ions and provide a mechanism for dielectric losses, the recompense for oxygen vacancy with the correct amount of acceptor dopants such as  $Mg^{2+}$  should, help to lower the loss tangent. It is further speculated that Mg dopants serve to increase the insulation resistance (excellent film resistivity value) of the BST based film by suppressing the concentration of oxygen vacancies and growth of potential barrier at grain boundaries [57].

For example loss tangent value is 0.32 for doped case and 0.74 for the undoped case at zero bias conditions.

4.7 Quality factor (Q) measurement results:

Quality factor (Q) is defined as reciprocal of loss tangent i.e.

 $Q = 1/(\text{loss tangent}) = 1/\tan\delta$  (4.2)

The Q factor vs. bias voltage plots for undoped and doped BST thin film are shown in fig. 4.7. It is clear seen that quality factor increases with bias voltage.

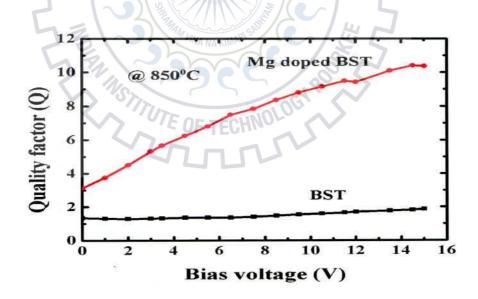


Figure 4.7.3 Q factor vs. applied voltage for both undoped and Mg doped BST thin film.

For Mg doped BST films Q is higher compared to undoped films. For example at 10V value of Q = 1.55 for undoped case and Q = 9 for Mg doped BST films, which shows remarkable impairment in doped films.

#### 4.8 Return loss (S11) measurement results:

Return loss of the thin film varactor is measured by "Rohde & Schwarz ZVL3 2Ports - Version 2.10" vector network analyzer having frequency range 900 kHz to 18 GHz. Return loss shows the amount of RF power reflected back from the thin film varactor in other word it indicate matching of the network i.e. if matching is good very less power will reflected back means maximum power will transmitted through thin film varactor. Figure 4.8.1shows the plots of  $S_{11}$  vs. frequency at zero volt and 30V bias for thin film varactor configuration.

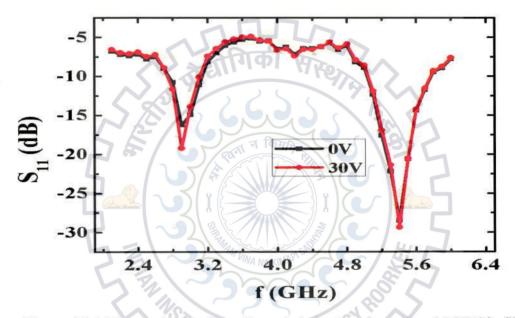


Figure 4.8.1 Measured return loss of coupled structure for undoped BST thin film.

The return loss for 0V and 30V bias volt with frequency shows that return loss is greater than 10dB for all biased voltage. It is clear that it has good performance in the frequency range of 2 GHz to 6 GHz because in this frequency range there are two dips in the curve which shows device performance will be good at these dips.

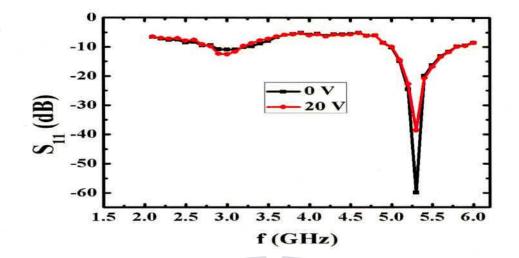


Figure 4.8.2 Measured return loss of coupled structure for 5mol% Mg doped BST thin film.

In case of 5mol% Mg doped (see fig. 4.8.2) return loss for 0V and 20V bias volt with frequency shows that return loss is greater than 10dB for all biased voltage. Figure 4.8.3 and 4.8.4 show  $S_{11}$  vs. bias voltage at fixed frequency of 2.9GHz. At zero bias the return loss  $S_{11}$  = 11dB which is lower than the undoped thin film varactor  $S_{11}$  = 17dB. At higher frequency of 5.3GHz  $S_{11}$  = 60dB for doped and  $S_{14}$  = 30dB for undoped case (see fig. 4.8.3 and 4.8.4)

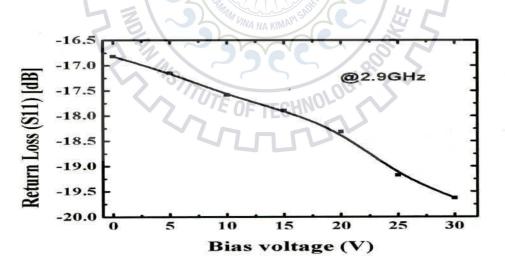


Figure 4.8.3 Measured return loss of coupled structure for undoped BST thin film with bias voltage.

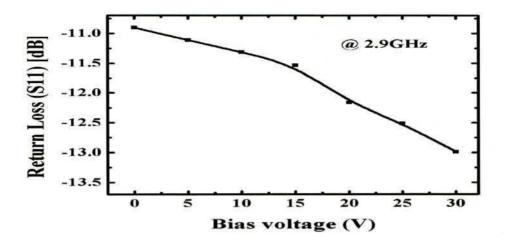


Figure 4.8.4 Measured return loss of coupled structure for 5mol% Mg doped BST thin film with bias voltage.

### 4.9 Phase shift measurement results:

The phase shift as a function of frequency was measured and the results are plotted in fig. 4.9.1 at two different bias voltages. It is the very important parameter for a phase shifter design. The measured return loss was better than 12dB from 2 GHz to 6 GHz. Therefore, the operating frequency of the phase shifter device was chosen in this frequency range. Figure 4.9.1 shows measured phase shift with respect to state of zero bias as frequency function under different bias voltages. A phase shift of 39 degree was obtained with a dc bias of 30 V at frequency of 5.4 GHz.

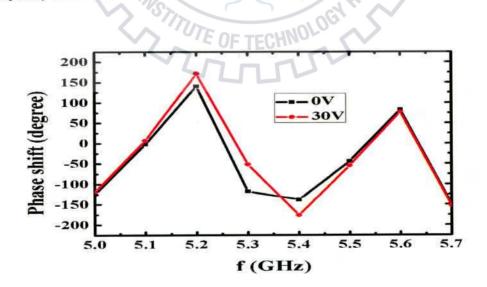


Figure 4.9.1 Differential phase shift of coupled structure for undoped BST thin film with frequency.

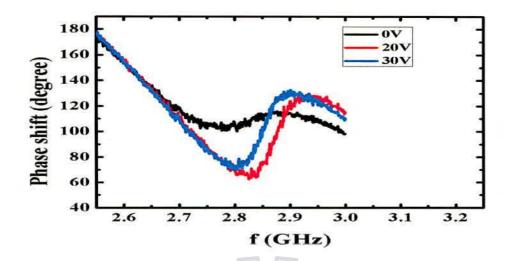


Figure 4.9.2 Differential phase shift of coupled structure for Mg doped BST thin film with frequency

Figure 4.9.2 shows measured differential phase shift with respect to state of zero bias as frequency function under different bias voltages. A phase shift of 34 degree was obtained with a dc bias of 30 V at frequency of 2.9 GHz.

Figure 4.9.3 shows measured differential phase shift with respect to state of zero bias as frequency function under different bias voltages. A differential phase shift of 39 degree was obtained with a dc bias of 30 V at frequency of 2.9 GHz for undoped BST film and in case of Mg doped BST thin film its value was 34 degree at 2.9 GHz (see fig. 4.9.4).

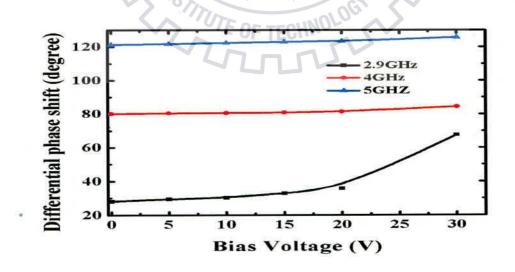


Figure 4.9.3 Differential phase shift of coupled structure for undoped BST thin film with bias voltage at different frequencies.

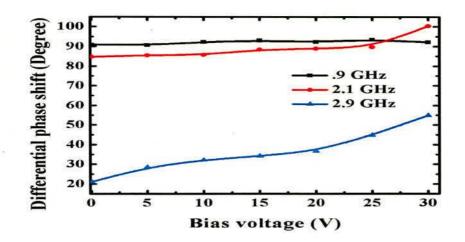


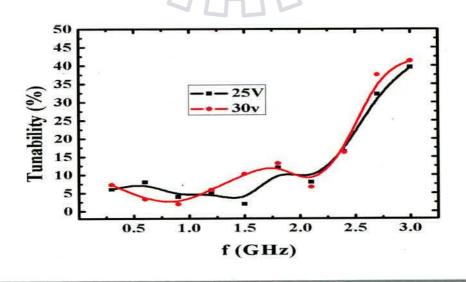
Figure 4.9.3 Differential phase shift of coupled structure for Mg doped BST thin film with bias voltage at different frequencies

## 4.10 Tunability and FOM measurement results:

Tunability of BST thin film varactor phase shifter is very important parameter. It shows change in phase shift per bias volt. Tunability is given by

Tunability (%) = 
$$(\Delta \phi / \Delta V) * 100$$
 (4.3)

The phase shift can be controlled by tuning of the transmission line. The first step in designing the varactor phase shifter is to determine the dimensions of each part of the coupled microstrip line to achieve the highest electrical tunability while maintaining the impedance matching. Electrical tunability of undoped and doped BST varactor is given in figure 4.10.1 and figure 4.10.2.



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Figure 4.10.1Tunability of coupled structure for undoped BST thin film with frequency at 25V and 30V bias voltage.

It is seen from figs.4.10.1 and 4.10.2 tunability in both cases varies nonlinearly with frequency. In case of pure BST its value is 41% where as for Mg doped BST its value is 39%.

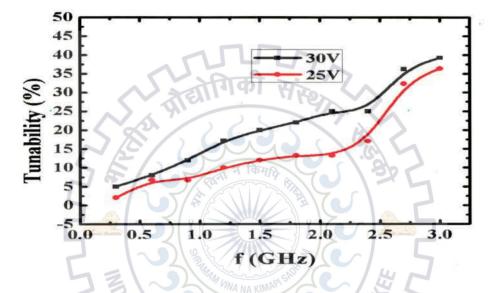


Figure 4.10.2Tunability of coupled structure for doped BST thin film with frequency at 25V and 30V bias voltage.

For undoped BST varactor phase shifter differential phase shift was found to be 39 degree which corresponds to a figure of merit of ~15 degree /dB (see fig. 4.10.3) and in case of Mg doped its value was found to be ~21 degree /dB (see fig. 4.10.4). FOM defined as the maximum differential phase shift divided by the return loss averaged across the full voltage range. The figure of merit can be further improved by applying higher electric field, with the low bias voltages.

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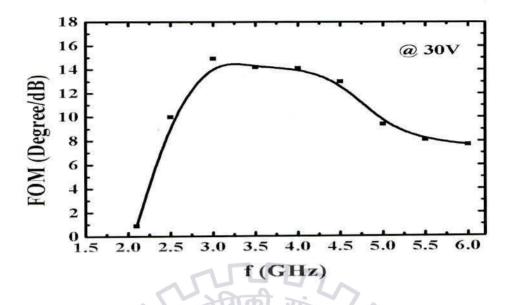


Figure 4.10.3 FOM for undoped BST thin film with frequency at 30V bias voltage.

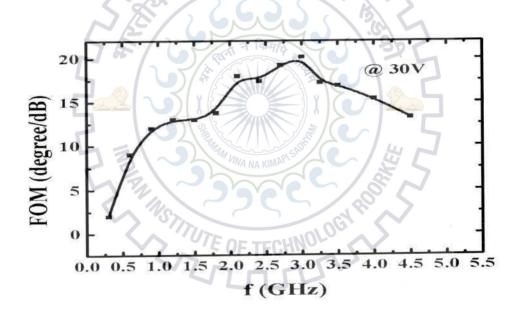


Figure 4.10.4 FOM for Mg doped BST thin film with frequency at 30V bias voltage.

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## CHAPTER 5

#### CONCLUSION AND FUTURE SCOPE

The BST thin films were fabricated by sol-gel based spin coating technique on single crystal n-type Si (100) substrate (resistivity  $\sim 7\Omega$ -cm). The films of thickness 950nm annealed at 850°C were dense, homogenous, crack-free and smooth with uniform thickness and uniform grain size. In addition the Ba_xSr_{1-x}TiO₃ (x=0.5) film showed no hysteresis in C –V characteristics indicating that the deposited films were paraelectric in nature for this composition.

The electrical characteristics of the thin films showed good dielectric and paraelectric properties. The measured leakage current density at 40 kV/cm is found to be 0.08 A/cm² and loss tangent = 0.7 at zero bias and 1MHz was obtained for undoped  $Ba_xSr_{1-x}TiO_3(x=0.5)$  thin film. In case of 5mol% Mg doped BST films the leakage current density is 0.0005A/cm² loss tangent was 0.3 at same conditions as used for pure BST films. The electrical properties of the BST thin films were found to be strongly dependent on thickness of BST film and growth temperature.

The maximum tunability ~ 41% in case of pure BST and ~39% in case of 5mol% Mg doped BST were found in the operational band from 2 GHz to 6 GHz and the measured return loss was better than 10 dB. A differential phase shift of  $39^{\circ}$  was obtained with a dc bias of 30 V at frequency of 2.9 GHz for pure BST and  $34^{\circ}$  in case of 5mol% Mg doped BST under same conditions. This will give the corresponding figure of merit of ~15°/dB for undoped BST and ~21°/dB for the doped films. The phase shifter devices based on coupled microstrip line structure are less sensitive to interfacial effects and require simple processing. It can be concluded that Mg doped BST shows better dielectric properties than undoped BST, for example low loss tangent, less leakage current (was obtained for doped BST films), but with slightly lower tunability and figure of merit.

In future this newly proposed ferroelectric phase shifter and its equivalent circuit could also be used to find various microwave device applications using paraelectric materials.

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